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(c) Send the Designated Officer a written request if you want us to determine whether this part covers or excludes certain engines. Excluding engines from this part's requirements does not affect other requirements that may apply to them.

Note: See  $40\ \text{CFR}$  part  $87\ \text{for engines}$  used in aircraft.)

(d) As defined in \$1048.801, stationary engines are not required to comply with this part (because they are not nonroad engines), except that you must meet the requirements in \$1048.20. In addition, the prohibitions in 40 CFR 1068.101 restrict the use of stationary engines for non-stationary purposes.

### § 1048.10 What main steps must I take to comply with this part?

- (a) You must have a certificate of conformity from us for each engine family before you do any of the following with a new nonroad engine covered by this part: sell, offer for sale, introduce into commerce, distribute or deliver for introduction into commerce, or import it into the United States. "New" engines may include some already placed in service (see the definition of "new nonroad engine" and "new nonroad equipment" in §1048.801). You must get a new certificate of conformity for each new model year.
- (b) To get a certificate of conformity and comply with its terms, you must do six things:
- (1) Meet the emission standards and other requirements in subpart B of this part.
- (2) Perform preproduction emission tests.
- (3) Apply for certification (see subpart C of this part).
- (4) Do routine emission testing on production engines as required by subpart D of this part.
- (5) Do emission testing on in-use engines, as we direct under subpart E of this part.
- (6) Follow our instructions throughout this part.
- (c) Subpart F of this part describes how to test your engines (including references to other parts).
- (d) Subpart G of this part and 40 CFR part 1068 describe requirements and prohibitions that apply to engine manufacturers, equipment manufacturers,

owners, operators, rebuilders, and all others.

## \$1048.15 Do any other regulation parts affect me?

- (a) Part 1065 of this chapter describes procedures and equipment specifications for testing engines. Subpart F of this part describes how to apply the provisions of part 1065 of this chapter to show you meet the emission standards in this part.
- (b) Part 1068 of this chapter describes general provisions, including these seven areas:
- (1) Prohibited acts and penalties for engine manufacturers, equipment manufacturers, and others.
- (2) Rebuilding and other aftermarket changes.
- (3) Exclusions and exemption for certain engines.
- (4) Importing engines.
- (5) Selective enforcement audits of your production.
  - (6) Defect reporting and recall.
  - (7) Procedures for hearings.
- (c) Other parts of this chapter affect you if referenced in this part.

### § 1048.20 What requirements from this part apply to my excluded engines?

- (a) Engine manufacturers producing an engine excluded under §1048.5(d) must add a permanent label or tag identifying each engine. This applies equally to importers. To meet labeling requirements, you must do the following things:
- (1) Attach the label or tag in one piece so no one can remove it without destroying or defacing it.
- (2) Make sure it is durable and readable for the engine's entire life.
- (3) Secure it to a part of the engine needed for normal operation and not normally requiring replacement.
- (4) Write it in block letters in English.
- (5) Instruct equipment manufacturers that they must place a duplicate label as described in 40 CFR 1068.105 if they obscure the engine's label.
- (b) Engine labels or tags required under this section must have the following information:
- (1) Include the heading "Emission Control Information".

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- (2) Include your full corporate name and trademark.
- (3) State the engine displacement (in liters) and maximum brake power.
- (4) State: "THIS ENGINE IS EXCLUDED FROM THE REQUIREMENTS OF 40 CFR PART 1048 AS A "STATIONARY ENGINE." INSTALLING OR USING THIS ENGINE IN ANY OTHER APPLICATION MAY BE A VIOLATION OF FEDERAL LAW SUBJECT TO CIVIL PENALTY."

# Subpart B—Emission Standards and Related Requirements

## § 1048.101 What exhaust emission standards must my engines meet?

Apply the exhaust emission standards in this section by model year. You may choose to certify engines earlier than we require. The Tier 1 standards apply only to steady-state testing, as described in paragraph (b) of this section. The Tier 2 standards apply to steady-state, transient, and field testing, as described in paragraphs (a), (b), and (c) of this section.

(a) Standards for transient testing. Starting in the 2007 model year, Tier 2 exhaust emission standards apply for transient measurement of emissions with the duty-cycle test procedures in subpart F of this part:

(1) The Tier 2  $HC+NO_X$  standard is 2.7 g/kW-hr and the Tier 2 CO standard is 4.4 g/kW-hr. For severe-duty engines, the Tier 2  $HC+NO_X$  standard is 2.7 g/kW-hr and the Tier 2 CO standard is 130.0 g/kW-hr. The standards in this

paragraph (a) do not apply for transient testing of high-load engines.

(2) You may optionally certify your engines according to the following formula instead of the standards in paragraph (a)(1) of this section: (HC+NO<sub>X</sub>) ×  $CO^{0.784} \leq 8.57$ . The HC+NO<sub>X</sub> and CO emission levels you select to satisfy this formula, rounded to the nearest 0.1 g/kW-hr, become the emission standards that apply for those engines. You may not select an HC+NO<sub>X</sub> emission standard higher than 2.7 g/kW-hr or a CO emission standard higher than 20.6 g/kW-hr. The following table illustrates a range of possible values under this paragraph (a)(2):

TABLE 1 OF §1048.101—EXAMPLES OF POS-SIBLE TIER 2 DUTY-CYCLE EMISSION STAND-ARDS

$\frac{\text{HC+NO}_{\text{X}}}{(\text{g/kW-hr})}$	CO (g/kW-hr)	
2.7	4.4	
2.2	5.6	
1.7	7.9	
1.3	11.1	
1.0	15.5	
0.8	20.6	

- (b) Standards for steady-state testing. Except as we allow in paragraph (d) of this section, the following exhaust emission standards apply for steady-state measurement of emissions with the duty-cycle test procedures in subpart F of this part:
- (1) The following table shows the Tier 1 exhaust emission standards that apply to engines from 2004 through 2006 model years:

TABLE 2 OF § 1048.101—TIER 1 EMISSION STANDARDS (G/KW-HR)

Testing	General emission standards		Alternate emission standards for severe-duty engines	
	HC+NO <sub>x</sub>	со	HC+NO <sub>X</sub>	со
Certification and production-line testing	4.0 5.4	50.0 50.0	4.0 5.4	130.0 130.0

- (2) Starting in the 2007 model year, engines must meet the Tier 2 exhaust emission standards in paragraph (a) of this section for both steady-state and transient testing. See paragraph (d) of this section for alternate standards that apply for certain engines.
- (c) Standards for field testing. Starting in 2007, the following Tier 2 exhaust emission standards apply for emission measurements with the field-testing procedures in subpart F of this part:
- (1) The HC+NO $_{\rm X}$  standard is 3.8 g/kW-hr and the CO standard is 6.5 g/kW-hr. For severe-duty engines, the HC+NO $_{\rm X}$